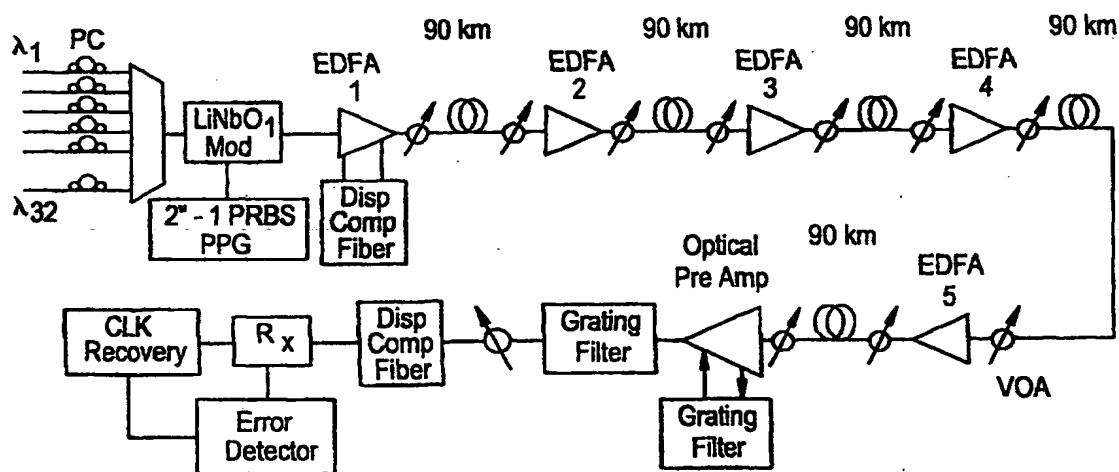




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : H04J	A2	(11) International Publication Number: WO 00/18047 (43) International Publication Date: 30 March 2000 (30.03.00)
(21) International Application Number: PCT/US99/22009 (22) International Filing Date: 21 September 1999 (21.09.99) (30) Priority Data: 60/101,241 21 September 1998 (21.09.98) US (71) Applicant: CORNING INCORPORATED [US/US]; 1 Riverfront Plaza, Corning, NY 14831 (US). (72) Inventors: DASILVA, Valeria, L.; 25-2C Bloomingdale Drive, Hillsborough, NJ 08876 (US). ENNSER, Karin, M.LIU, Yanming; 41 Glendale Drive, Horseheads, NY 14845 (US). LUCERO, Alan, J.; 8 So. Woodland Avenue, E. Brunswick, NJ 08816 (US). TSUDA, Sergio; Building 13, 204 Willowbrook Drive, N. Brunswick, NJ 08902 (US). (74) Agent: REDMAN, Mary, Y.; Patent Dept., SP TI 3-1, Corning Incorporated, Corning, NY 14831 (US).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: WAVELENGTH DIVISION MULTIPLEXING SYSTEMS**(57) Abstract**

A long haul, broadband DWDM system that has been optimized by the proper selection of the distribution of total dispersion compensation. Dispersion compensation is utilized at both the receiver and transmitter ends. System performance is dependent on the ratio of compensation split between the transmitter and the receiver. A system operated in the nonlinear regime can be compensated to operate with low BER and with reduced penalties due to residual dispersion effects, even when the spread of total accumulated dispersion between the extreme channels in a broadband system exceeds 1,100 ps/nm.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

WAVELENGTH DIVISION MULTIPLEXING SYSTEMS

5 This application claims the benefit of U.S. Provisional Application No. 60/101,241 filed on September 21, 1998, which is hereby incorporated by reference in its entirety.

Field of the Invention:

10 This invention relates to wavelength division multiplexers (WDMs) systems and, more particularly, to dense WDMs (DWDMs), in which dispersion compensation is utilized at both the receiver and transmitter ends, and further wherein a WDM is optimized overall.

15 BACKGROUND OF THE INVENTION

Various effects of linear chromatic dispersion in optical communications systems have been investigated. In particular, the use of dispersion compensation techniques at either the receiver or transmitter ends of a wavelength division
20 multiplexer have been of interest.

This invention pertains to the simultaneous use of dispersion compensation at both the receiver (RX) and transmitter (TX) ends of a dense WDM to obtain better performance. The invention provides techniques for optimizing dual dispersion compensation, given the characteristics of the overall WDM and its associated network.

5

One factor significantly affecting the optimum ratio for dispersion compensation at the RX and TX ends is the chirp value of the transmitter. Other factors include power level, number of channels, channel plan, fiber dispersion, and system length.

10

Providing dispersion compensation simultaneously at both the RX and TX ends of the WDM system can produce superior results compared with compensation at a single end. However, the type and distribution of the dispersion (dispersion ratio) between the RX and TX ends must be balanced for the particular system. If not properly balanced, the results can be inferior even to those for single ended compensation.

15

The dispersion compensation ratio can be adjusted experimentally by trial and error, but this method is burdensome and painstaking. Optimization can initially be more readily analyzed using a simulation, which describes the propagation of the light wave in the fiber. Software that can perform this analysis is available commercially. The analysis can simulate propagation along all channels and account for meaningful nonlinear effects and dispersion effects at the same time.

20

An article by Hayee, M.I., et al, I.E.E.E. Photonics Technology Letters, 1997, entitled, "Pre- and Post-Compensation of Dispersion and Nonlinearities in 10 Gb/s WDM Systems", which is hereby incorporated by reference in its entirety, teaches that dual compensation gives the minimal penalty for each channel in dispersion-managed WDM systems. Further, the article teaches that the optimal amount of pre- and post-compensation is dependent upon the specific dispersion map used in the WDM system. Hayee describes a sparse system having only eight channels; and with power and distance which are appropriate only for low power (1500 km) submarine systems. Unlike the present invention, the pre- and post-compensation of dispersion set forth by Hayee are determined individually and not in a coordinated way, as befits a system.

30

SUMMARY OF THE INVENTION

In accordance with the present invention, there is provided a method and system for compensating dispersions in long haul broadband Dense Wavelength Division Multiplexers (DWM systems). A typical long haul broadband DWDM in accordance with this invention, utilizes a system of DFB (distributed feedback) lasers comprising thirty-two channels on an ITU (International Telecommunications Union) grid. The lasers are multiplexed and modulated at 10 GBit/s by a nominal zero-chirp modulator. The signals are directed to a system of five 90 km spans of large effective aperture fiber such as that sold under the registered trademark LEAF[®] by Corning Incorporated. Optical amplifiers provide signal gain at the input to each span. Commercial units of Dispersion Compensating Modules are provided. The dispersion compensating modules are applied at the transmitter and receiver ends. Proper selection of the distribution of total dispersion compensation determined by simulation, and verified by experiment, optimizes the DWDM system.

It is an object of this invention to provide an improved method and system for compensating dispersion and nonlinear penalties in long haul broadband Dense Wavelength Division Multiplexers (DWDMs).

It is another object of the invention to provide an improved method and system for compensating dispersions in long haul broadband DWDMs, in which dispersion compensation is utilized at both the receiver and transmitter ends, and further wherein the DWDM is optimized overall.

BRIEF DESCRIPTION OF THE DRAWINGS

A complete understanding of the present invention may be obtained by reference to the accompanying drawings, when considered in conjunction with the subsequent detailed description, in which:

FIGURE 1 illustrates a schematic diagram of a typical fiber optic system in accordance with this invention;

5 FIGURE 2 depicts a diagrammatic view of the spectra obtained for the fiber optic system shown in FIGURE 1;

FIGURE 3 shows a graph of Q versus wavelength for the fiber optic system depicted in FIGURE 1;

10 FIGURE 4 illustrates a graphical view of Q versus channel number compared with the FWM spectrum for the same channels for the fiber optic system depicted in FIGURE 1;

15 FIGURE 5a shows a graphical view of Q, as a function of wavelength, for the fully assembled system (squares), together with the Q measured with transmission fiber having been replaced by attenuators (circles); and

FIGURE 5b depicts a graphical view of the penalty of the fiber (dB) vs. the wavelength (nm).

20

DESCRIPTION OF THE PREFERRED EMBODIMENT

Generally speaking, the invention features a long haul, broadband, DWDM system that has been optimized by the proper selection of the distribution of total dispersion compensation. Dispersion compensation is utilized at both the receiver and transmitter ends. System performance is dependent on the ratio of compensation split between the transmitter and the receiver. A system operated in the nonlinear regime can be compensated to operate at low BER and with tolerable residual dispersion effects, even when the spread of total accumulated dispersion between the extreme channels in a broadband system exceeds 1,100 ps/nm.

25

30

Now referring to FIGURE 1, a schematic diagram shows a typical DWDM system in accordance with this invention. The system is of the long haul type, and designed to be deployable terrestrially. The system utilizes DFB lasers comprising thirty-two channels on an ITU grid.

5

In an early simulation, the optical amplifiers disposed at the input to each span were characterized by a 25 dB external gain, total power output of 20 dBm (19 dBm directed into the fiber spans), an average noise figure of 5 dB, and an average gain ripple of 1.2 dB. Commercial units of Dispersion Compensating Modules were provided as DCM-X, where X was the equivalent length in kilometers of standard single mode fiber dispersion, compensated by the dispersion compensating module. The DCM modules were applied at the transmitter and receiver ends. Combinations of ratios of compensating modules at the transmitter and receiver from DCM-20 (-340 ps/nm) to DCM-60 (-986 ps/nm) in steps of DCM-10 (-160 ps/nm) were integrated and their effect recorded. Proper selection of the distribution of total dispersion compensation determined by simulation and verified by experiment optimized the DWDM system.

The TABLE shown below presents a summary of the performance of the worst channel in each configuration for the system:

20

TABLE

Pre- Compensation DCM	Post- Compensation DCM	BER 2	for 4	Select 16	Channels 31	33
20	30	<1E-13	<1E-13	3.E-11	5.E-10	4.E-10
20	40	9.E-13	9.E-12	<1E-13	2.E-12	1.E-12
30	20	<1E-13	<1E-13	<1E-13	<1E-13	<1E-13
30	30	<1E-13	<1E-13	<1E-13	<1E-13	<1E-13

5 Note that rows one and three have the same total compensations and the same is true for rows two and four, yet the system performances are markedly different depending on the ratios of the pre- and post-compensation values.

10 In a later experiment, the lasers were multiplexed with fiber couplers, and modulated with a $2^{31}-1$, 10 GBit/s pseudorandom bit stream (PRBS) by a Li:NbO₃, zero-chirp, Mach-Zehnder modulator. The lasers match the ITU-T nominal central frequency grid and minimal channel spacing of 100 GHz. The first channel wavelength is $\lambda_1 = 1532.68$ nm (195.6 THz) and the last channel wavelength is $\lambda_{32} = 1557.36$ nm (192.5 THz). After amplification, the signals were transmitted over a 450 km

15 transmission line consisting of 5 x 90 km spans of LEAF[®] large effective aperture fiber and four in-line optical amplifiers. LEAF[®] fiber has an effective area of 72-78 μm^2 , which is about 50% larger than typical NZ-DSF. The fibers λ_0 varied between 1506 nm and 1514 nm, and dispersion slope was ≈ 0.1 ps/nm²/km. With a Variable Optical Attenuator (VOA), the total launch power was adjusted in each span to +19 dBm,

20 which corresponds to an average power of approximately +4 dBm/channel.

The span loss was increased to 24 dB by adding optical attenuators before each

amplifier in order to simulate real system loss margin needed for real systems. A turnable narrow band fiber grating filter, with an FWHM of 0.3 nm at the optical pre-amplifier, selected the channel to be measured. A variable optical attenuator was used to keep the power substantially constant into the O-E converter.

5

The input spectrum before the first VOA and output spectrum before the optical preamplifier are shown in FIGURE 2. The same amount of pre- and post-compensation was used for all channels. At the system output, the total accumulated dispersion for the first channel was -454.78 ps/nm and +893.81 ps/nm for the last channel.

10

The transmission performance was characterized by measuring the bit error rate as a function of the decision threshold for each channel. The system Q was estimated using the full system (fiber + amplifiers) and with fiber spans replaced by attenuators with equivalent loss. The results of the measurements for the full system are illustrated in FIGURE 3. The average Q for the full system was approximately 8.9 dB optical (BER = 4.5×10^{-15}) with a small variation across the whole band. The lowest Q, as seen in FIGURE 5a, corresponded to channel 27 (Q = 8.6 dB, BER = 2.2×10^{-13}). These results, compared to those without the fiber (amplifiers + attenuators), showed an average fiber-induced penalty of 0.9 dB with a maximum of 1.3 dB penalty for channel 12 as in FIGURE 5b.

20

The channels around 1550 nm, however, did not show this correlation, and may have been affected by nonlinear contributions. An improvement of 0.1 to 0.5 dB in the optical Q was observed by reducing the total launched power into all fiber spans to +17.5 dBm, while keeping the OSNR constant. This improvement demonstrates that the fiber effectively limits the nonlinear impairments to small values.

25

Four Wave Mixing (FWM), Cross-Phase Modulation (XPM), and Self-Phase Modulation (SPM) are usually the major nonlinear penalties in dense WDM systems. In order to quantify the effect of FWM penalties, each channel was turned off individually across the band and measured for FWM products generated by neighboring

30

channels, as illustrated in FIGURE 4. The strongest FWM products were measured at more than 30 dB below the signal power level, which is too low to have any significant effect upon the system. Owing to the fact that there is no correlation between the FWM crosstalk and the measured Q values, it can be concluded that the penalties introduced
5 come from a combination of linear dispersion and the nonlinear contributions of XPM and SPM.

Measured Q factors greater than 8.6 dB ($\text{BER} \approx 2.2 \times 10^{-13}$) for all channels, and a maximum fiber-induced penalty of 1.3 dB can be achieved with this system. The 32
10 channel system can be optimized with a single, dispersion compensating module design, with no splitting of the signal band, and with negligible variation in dispersion-related system penalty across the channel plan.

Dense WDM can greatly increase the capacity of transmission at the cost of
15 managing the penalty induced by optical nonlinearities. Systems that use non-dispersion shifted fibers must use dispersion compensation on a span by span basis when bit rates greater than, or equal to, 10 Gbit/s/channel are used.

The use of non-zero dispersion-shifted fibers (NZ-DSF) reduces the need for
20 dispersion compensation. Uncompensated WDM transmission can be achieved at 10 Gb/s over 360 km by optimizing the fiber dispersion. Dispersion management is usually used for longer distances.

In a study establishing the split dispersion compensating module ratio technique,
25 an experiment was performed using blue (1530 nm to 1540 nm) and red (1548 nm to 1562 nm) band transmissions. Error-free transmissions were observed at 10 Gbit/s for both blue (1530-1540 nm) and red (1540-1560 nm) wavelength regions within the erbium-doped fiber amplifier (EDFA) gain band; only pre- and post-dispersion compensation were required. The red band experiment utilized eight uniformly spaced
30 (200 GHz) channels ranging from 1549.3 nm to 1560.6 nm, while the blue band experiment used four channels ranging from 1531.9 nm to 1536.6 nm, with 200 GHz

channel spacing. The lasers were combined in a fiber coupler and modulated with a 2^{31} - 1, 10 Gbit/s pseudorandom bit stream by a Mach-Zehnder LiNbO₃ modulator.

5 After amplification, the signals were transmitted over a 450 km transmission line consisting of five spans of ninety km LEAF[®] fiber, and four in-line optical amplifiers. The total output power of each amplifier was adjusted to be 16 dBm, for the red band experiment, and 13 dBm for the blue band experiment, which corresponded to an average power of 7 dBm/channel.

10 In order to simulate one aspect of the aging of field-deployed systems, the span loss was increased to 24 dB by adding optical attenuators before each amplifier. An etalon filter with an FWHM of 0.3 nm at the optical pre-amplifier selected the channel to be measured.

15 A small amount of dispersion compensation was used for both experiments. For the red band, a first dispersion unit with a total dispersion of 688 ps/nm at 1550 nm was placed after the modulator, and a second dispersion unit was placed after the optical pre-amplifier. Less dispersion compensation was required for the blue band experiment. A unit with 344 ps/nm was placed after the pre-amplifier. In both
20 experiments, the power per channel coupled into the dispersion compensators was kept below 0 dBm in order to avoid nonlinear effects.

Optical pre-emphasis was required to equalize the received optical signal-to-noise ratio at the end of the transmission line for the red band experiment. Four Wave
25 Mixing (FWM) was not observed in either the red or the blue experiment.

A negative penalty found for channel 1 indicated an optimum balance between self/cross-phase modulation and dispersion. The penalty for the other channels was due to undercompensation of the dispersion.

30

Error-free transmission was obtained for all channels in both red and blue

experiments, when the span loss was adjusted to 24 dB. This corresponds to a received power of -21.9 dBm for channel 1, to -15.1 dBm for channel 8 for red. In other words, more power (14 to 19 dBm) was necessary to obtain a bit-error rate of 10^{-9} . For blue, sensitivity varied between -34.5 dBm and -34.0 dBm. This equates to a power penalty of 0.5 to 1.0 dB.

From the negligibly small observable FWM spectral product, it is obvious that the large effective aperture fiber effectively suppresses FWM in dense WDM systems. Furthermore, due to its large effective area and small dispersion, this fiber allows for the minimization of self- and cross-phase modulation penalties at 10 Gbit/s, using dispersion compensation at the terminal. This eliminates the need for dispersion management in the cable or at every amplifier.

Since other modifications and changes varied to fit particular operating requirements and environments will be apparent to those skilled in the art, the invention is not considered limited to the example chosen for purposes of disclosure, and covers all changes and modifications which do not constitute departures from the true spirit and scope of this invention. In particular, the invention is pertinent and applicable to WDM systems operating in the L-Band (~1568 - 1615 nm) and the S-Band (~1475 - 1505 nm).

Having thus described the invention, what is desired to be protected by Letters Patent is presented in the subsequently appended claims.

What is claimed is:

1. A dispersion compensated optical communication system, comprising:

a multi-channel system of lasers;

a plurality of spans of fiber operatively connected at an input end to said laser system for receiving signals therefrom and having an output end, each of said plurality of spans of fiber having a transmitter end and a receiver end in series;

a plurality of optical amplifiers, each disposed at an input to one of said spans of fiber;

a pre-transmission dispersion compensator applied to said input end of said plurality of spans of fiber ;

a post-transmission dispersion compensator applied to said output end of said plurality of spans of fiber;

the ratio of dispersion compensation provided by said pre-transmission dispersion compensator and said post-transmission dispersion compensator being pre-selected so as to provide a predetermined distribution of dispersion compensation in the system.

2. The system in accordance with claim 1, wherein said system comprises a Dense Wavelength Division Multiplexing (DWDM) system having long haul, broadband DWDMs.

3. The system in accordance with claim 1, wherein said lasers are modulated.

4. The system in accordance with claim 3, wherein said system operates at a modulation rate from approximately 2.5 to approximately 40 GBit/s.

5. The system in accordance with claim 1, wherein said laser system comprises at least 16 channels.

6. The system in accordance with claim 1, wherein the ratio between pre-transmission and post-transmission dispersion compensation is 60/40% \pm

10% of a total value of dispersion compensation.

7. An optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system, comprising:

a multi-channel system of lasers;

means operatively connected to said lasers for multiplexing and modulating said lasers;

multiple spans of fiber operatively connected to said lasers for receiving signals therefrom, said multiple spans of fiber each having transmitter and receiver ends;

optical amplifiers disposed at an input to each span of said fibers;

dispersion compensating modules applied to said transmitter and receiver ends of each of said fiber spans; and

selection means associated with said dispersion compensating modules for distributing dispersion compensation, whereby said DWDM system is optimized.

8. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 7, wherein said system comprises long haul, broadband DWDMs.

9. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 7, wherein said lasers are modulated.

10. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 7, wherein said system operates at a modulation rate from approximately 2.5 to approximately 40 GBit/s.

11. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 7, wherein said system comprises at least 16 channels.
- 5 12. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 7, wherein said selection means comprises simulation software.
- 10 13. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 7, wherein an optimum dispersion compensation split ratio, comprising pre- and post-dispersion, is $60/40\% \pm 10\%$ of a total value of dispersion compensation.
- 15 14. An optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system, comprising:
- a laser system having at least one channel;
- means operatively connected to each laser for multiplexing and modulating each laser;
- 20 multiple spans of fiber operatively connected to each laser for receiving signals therefrom, said multiple spans of fiber each having transmitter and receiver ends;
- optical amplifiers disposed at an input to each span of said fibers;
- a dispersion compensating module applied to each of said transmitter and receiver ends of each of said fiber systems; and
- 25 selection means associated with said dispersion compensating modules for distributing dispersion compensation, whereby said DWDM system is optimized.

- 5
15. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 14, wherein said system comprises long haul, broadband DWDMs.
16. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 14, wherein said lasers are modulated.
- 10
17. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 14, wherein said system operates at a modulation rate selected from approximately 2.5 to approximately 40 GBit/s.
- 15
18. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 14, wherein said system comprises at least 16 channels.
- 20
19. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 8, wherein said selection means comprises simulation software.
- 25
20. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 14, wherein an optimum dispersion compensation split ratio, comprising pre- and post-dispersion, is $60/40\% \pm 10\%$ of a total value of dispersion compensation.
21. An optimized, dispersion compensated, Dense Wavelength Division

Multiplexing (DWDM) system, comprising:

a multi-channel system of lasers disposed upon a grid;

means operatively connected to said lasers for facilitating multiplexing and modulation thereof;

5 multiple spans of fiber operatively connected to said lasers for receiving signals therefrom, said multiple spans of fiber each having transmitter and receiver ends;

optical amplifiers disposed at an input to each of said multiple spans of fiber; and

10 dispersion compensating modules applied to said transmitter and receiver ends of each of said fibers.

22. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 21, wherein said
15 system comprises long haul, broadband DWDMs.

23. The optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system in accordance with claim 21, wherein said
20 system operates at a modulation rate selected from modulation rates from approximately 2.5 to approximately 40 GBit/s.

24. An optimized, dispersion compensated, Dense Wavelength Division Multiplexing (DWDM) system, comprising:

a grid system including multiple channels of lasers;

25 means operatively connected to said lasers for facilitating modulation and multiplexing thereof;

multiple spans of fiber operatively connected to said lasers for receiving

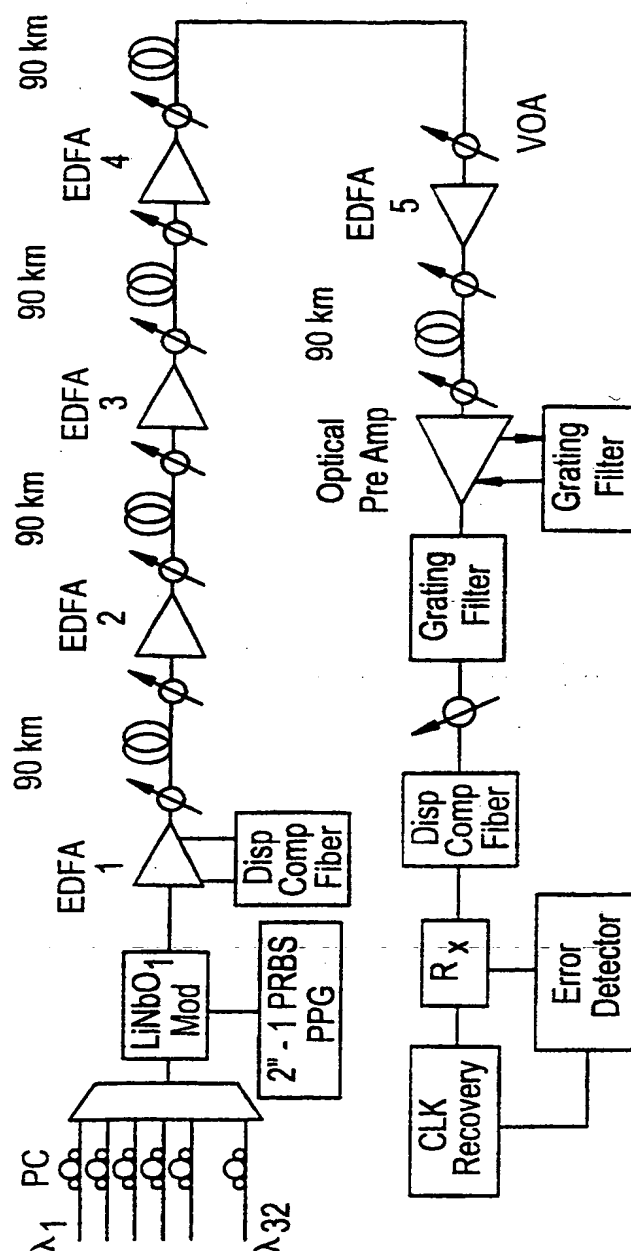
signals therefrom, said multiplespans of fiber each having transmitter and receiver ends;

optical amplifiers disposed at an input to each of said spans of fiber; and

dispersion compensating modules applied to said transmitter and receiver ends of each fiber span.

5

FIG. 1



SUBSTITUTE SHEET (RULE 26)

2 / 5

FIG.2B

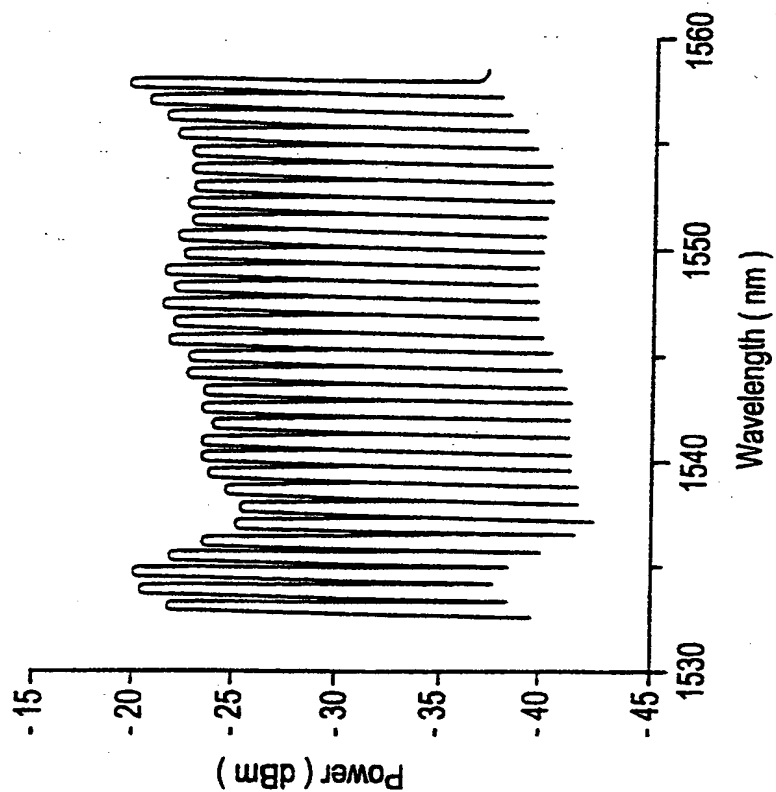


FIG.2A

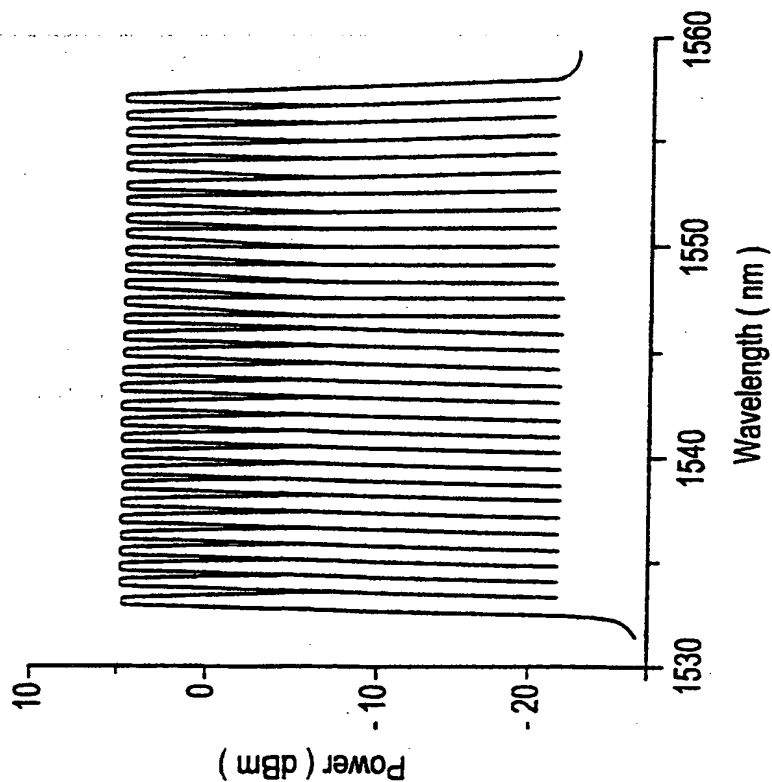


FIG.3

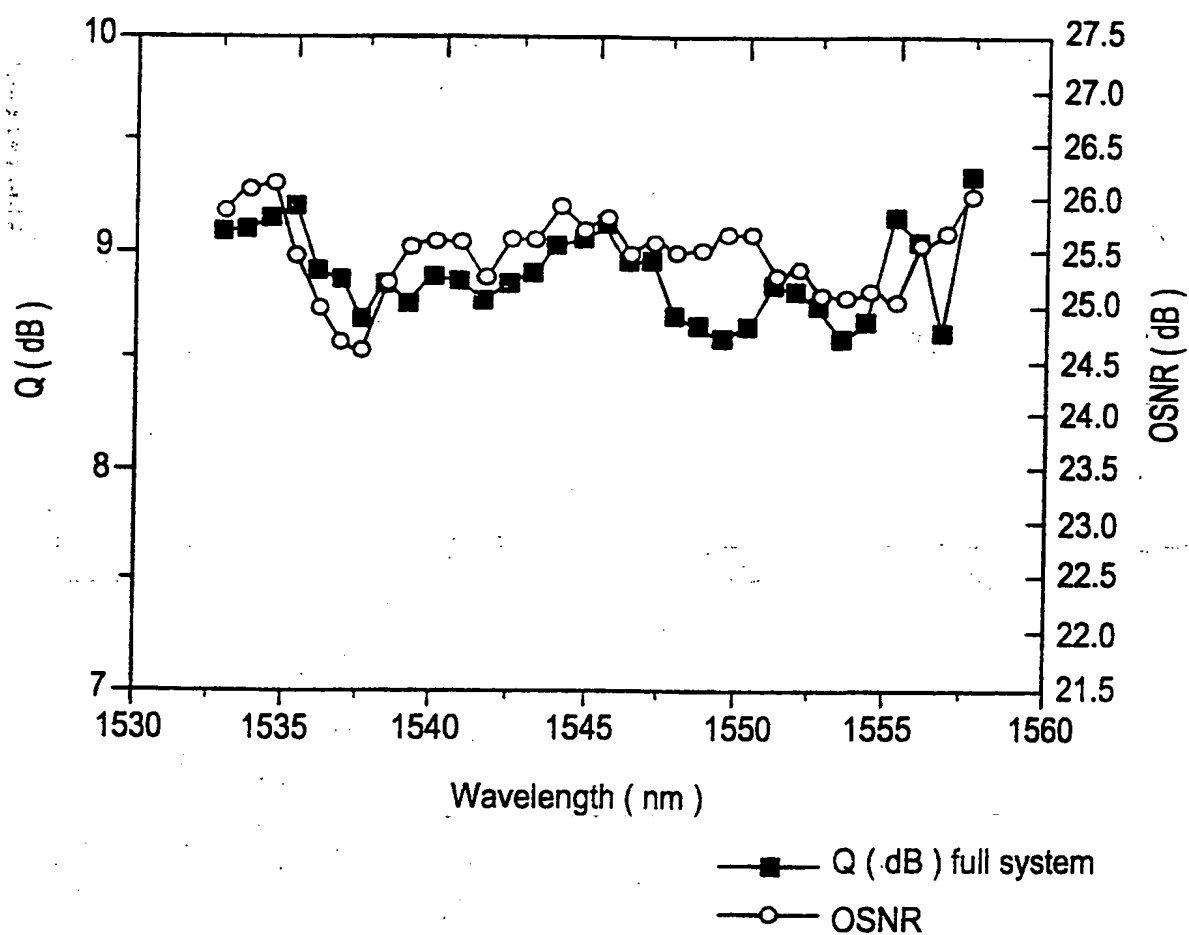
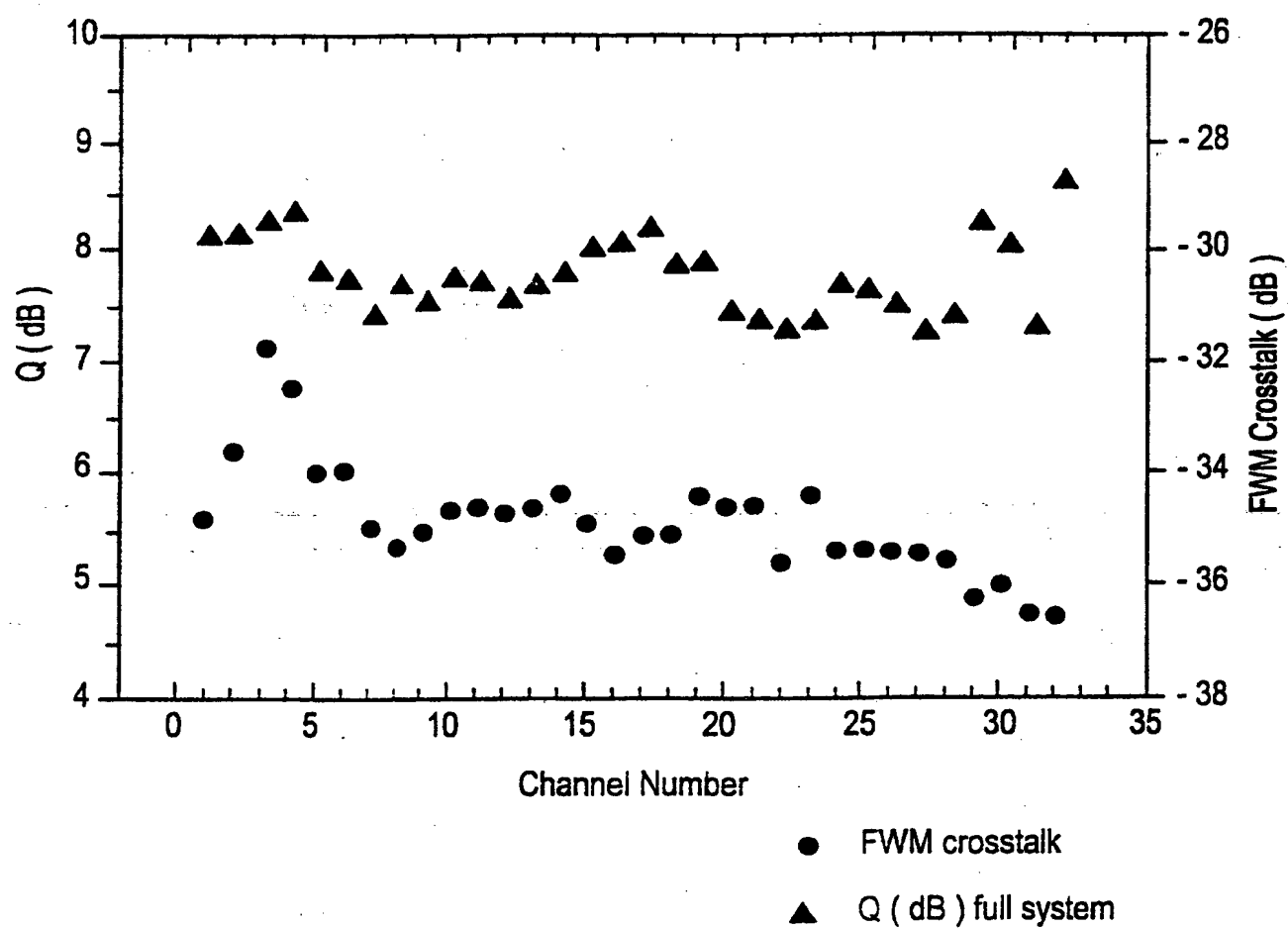


FIG.4



5 / 5

FIG. 5A

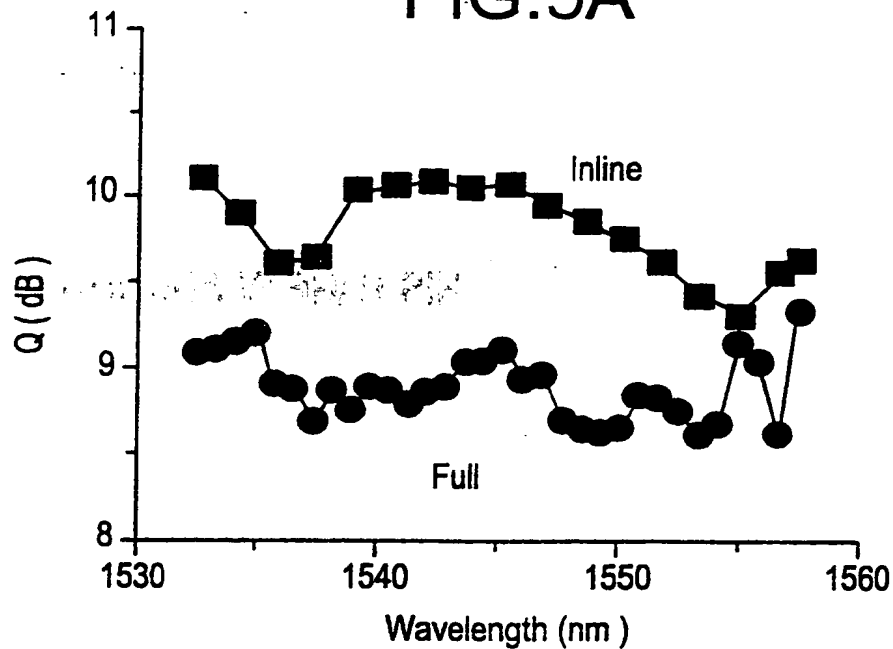
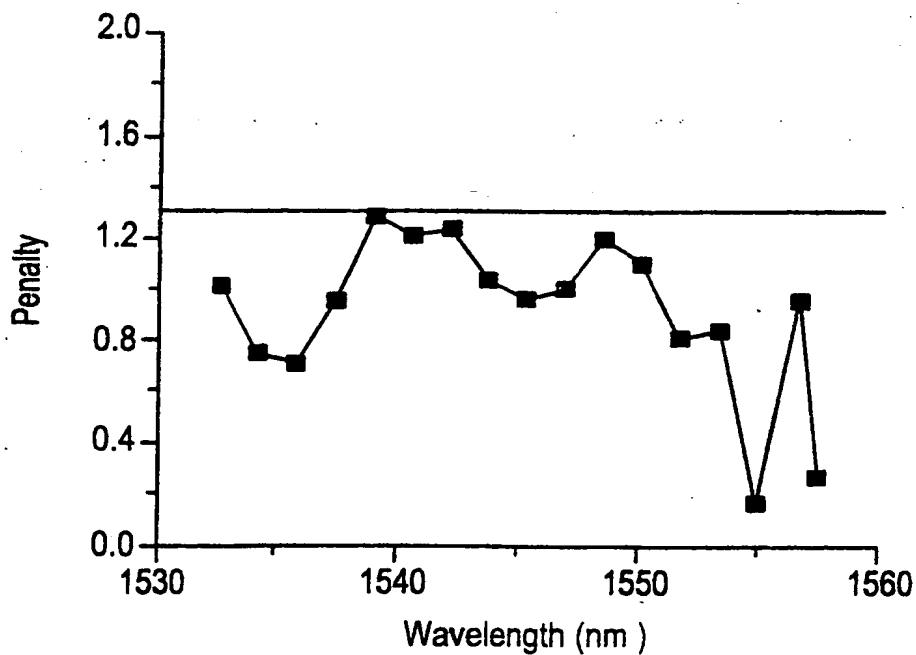


FIG. 5B



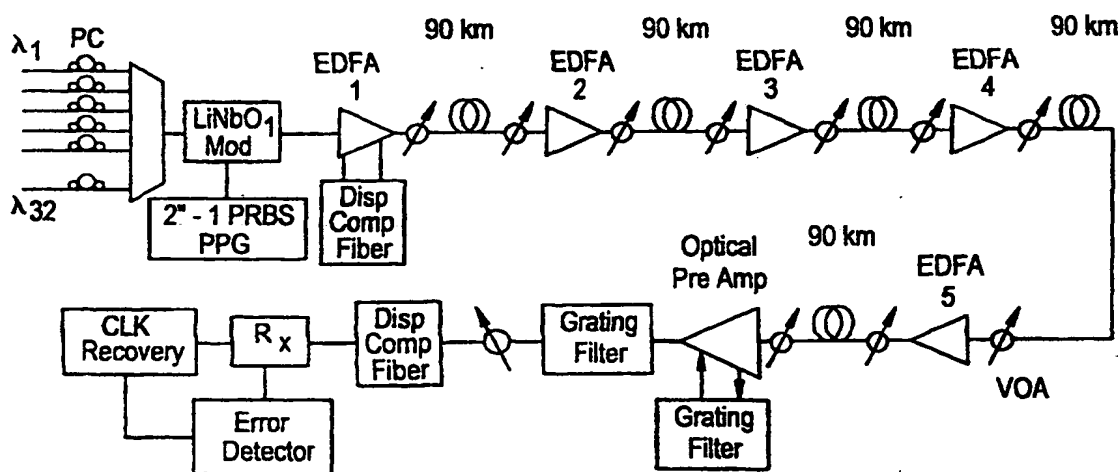
THIS PAGE BLANK (USPTO)



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) -

(51) International Patent Classification⁶ : H04J 14/00, 14/02, H04B 10/16, 10/17, 10/18	A3	(11) International Publication Number: WO 00/18047 (43) International Publication Date: 30 March 2000 (30.03.00)
(21) International Application Number: PCT/US99/22009 (22) International Filing Date: 21 September 1999 (21.09.99) (30) Priority Data: 60/101,241 21 September 1998 (21.09.98) US (71) Applicant: CORNING INCORPORATED [US/US]; 1 Riverfront Plaza, Corning, NY 14831 (US). (72) Inventors: DASILVA, Valeria, L.; 25-2C Bloomingdale Drive, Hillsborough, NJ 08876 (US). ENNSER, Karin, M.LIU, Yanming; 41 Glendale Drive, Horseheads, NY 14845 (US). LUCERO, Alan, J.; 8 So. Woodland Avenue, E. Brunswick, NJ 08816 (US). TSUDA, Sergio; Building 13, 204 Willowbrook Drive, N. Brunswick, NJ 08902 (US). (74) Agent: REDMAN, Mary, Y.; Patent Dept., SP TI 3-1, Corning Incorporated, Corning, NY 14831 (US).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> (88) Date of publication of the international search report: 25 May 2000 (25.05.00)

(54) Title: PRE- AND POST-COMPENSATION TECHNIQUES FOR WAVELENGTH DIVISION MULTIPLEXING SYSTEMS



(57) Abstract

A long haul, broadband DWDM system that has been optimized by the proper selection of the distribution of total dispersion compensation. Dispersion compensation is utilized at both the receiver and the transmitter ends. System performance is dependent on the ratio of compensation split between the transmitter and the receiver. A system operated in the non-linear regime can be compensated to operate with low BER and with reduced penalties due to residual dispersion effects, even when the spread of the total accumulated dispersion between the extreme channels in a broadband system exceeds 1,000 ps/nm.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/22009

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :H04J 14/00, 14/02; H04B 10/16, 10/17, 10/18

US CL :359/161, 124, 174, 179, 173

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 359/161, 124, 174, 179, 173

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EAST, IEEE DATABASE

search terms: repeater, dispersion compensation, distribution of dispersion compensation, pre- post dispersion compensation

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 5,815,294 A (ISHIKAWA et al) 29 September 1998, col. 15, lines 28-67, col. 16, lines 1-44, col. 16, lines 59-67, col. 17, lines 1-48, col. 30, lines 21-58, figures 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 35, 57, 74	1-24
X,P	US 5,886,804 A (ONAKA et al) 23 March 1999, col. 1, lines 50-67, col. 2, lines 1-67, col. 3, lines 1-36, col. 5, lines 20-67, col. 6 lines 1-20, col. 11, lines 41-50, figure 1	5, 11, 18
X,P	US 5,877,881 A (MIYAUCHI et al) 02 March 1999, col. 4, lines 14-36, figure 3	7



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*a* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

22 FEBRUARY 2000

Date of mailing of the international search report

21 MAR 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231
Facsimile No. (703) 305-3230Authorized officer
JASON CHAN

Telephone No. (703) 305-4729

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/22009

C (Continuation): DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 5,880,876 A (KIKUCHI et al) 09 March 1999, col. 2, lines 43-49, col. 8, lines 58-67, col. 9, lines 1-12, Abstract, lines 1-15, fig 12	5, 11, 18

Form PCT/ISA/210 (continuation of second sheet)(July 1992)★

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/22009

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US 5,880,876 A (KIKUCHI et al) 09 March 1999, col. 2, lines 43-49, col. 8, lines 58-67, col. 9, lines 1-12, Abstract, lines 1-15, fig 12	5, 11, 18

Form PCT/ISA/210 (continuation of second sheet)(July 1992)★

THIS PAGE BLANK (USPTO)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)